

## Lecture 2

### Basic Concepts of Fourier Analysis

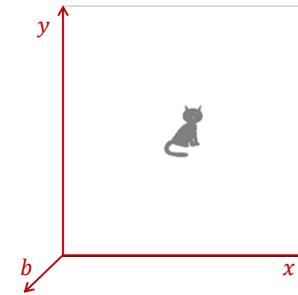
- and of convolution and correlation -

Paula da Fonseca  
- MRC LMB -

London, 2<sup>nd</sup> September 2015

## Fourier analysis

- Every (monochromatic) image is a 2D function of space  $(x,y)$  vs brightness  $(b)$



## Fourier analysis

**Fourier theory:** any function (like our images) can be expressed as a sum of a series of sine waves.

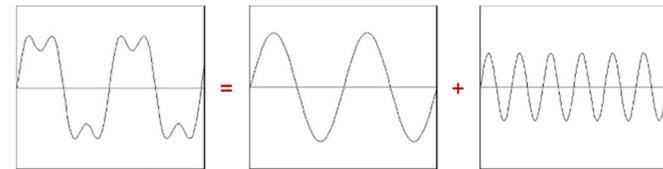


Jean-Baptiste Joseph Fourier  
1768 – 1830

## Fourier analysis

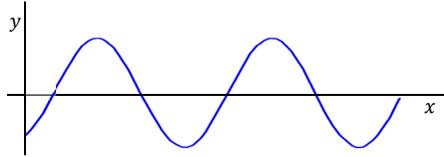
**Fourier theory:** any function (like our images) can be expressed as a sum of a series of sine waves.

- simple 1D function -



## Sine waves

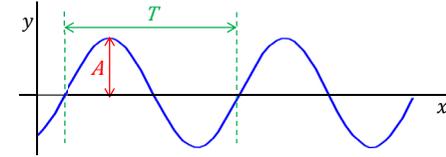
$$y = A \sin(2\pi x/T + \varphi)$$



*simple periodic function*

## Sine waves

$$y = A \sin(2\pi x/T + \varphi)$$



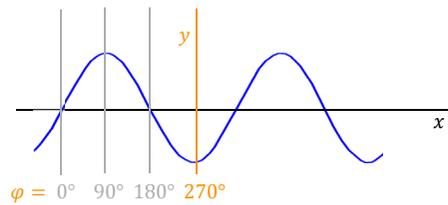
*A = amplitude; maximum extent of the wave, the units correspond to brightness in the case of an image*

*T = period (repeat, cycle, wavelength) in x axis units, normally Ångstroms in the processing of EM images*

*f = 1/T = frequency; complete repeats per x axis unit*

## Sine waves

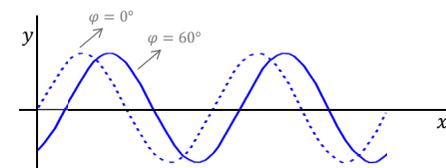
$$y = A \sin(2\pi x/T + \varphi)$$



*$\varphi$  = phase, in degrees or radians, defines the oscillation stage at the wave origin*

## Sine waves

$$y = A \sin(2\pi x/T + \varphi)$$

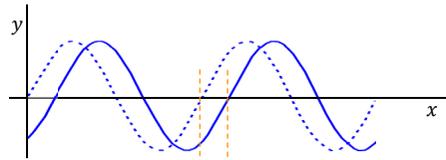


*the phase defines the "relative position" of a wave*

*$\varphi$  = phase, in degrees or radians, defines the oscillation stage at the wave origin*

## Sine waves

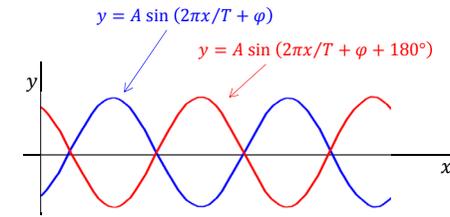
$$y = A \sin(2\pi x/T + \varphi)$$



phase difference between two out-of-phase waves  
 phase shift = addition of a constant (in degrees or radians) to wave phase

$\varphi$  = phase, in degrees or radians, defines the oscillation stage at the wave origin

## Sine waves

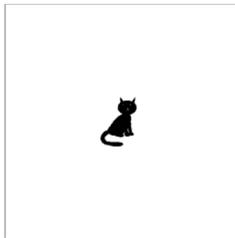


adding  $180^\circ$  to the phases of a sine wave results in its mirror function

in image processing, adding  $180^\circ$  to the phase of a wave component of an image results in the contrast reversal (white becomes black and black becomes white) of the contribution of that wave for the image

- relevant for CTF correction (lecture 3) -

## Sine waves



$180^\circ$  added to the phases of ALL frequency components of the image



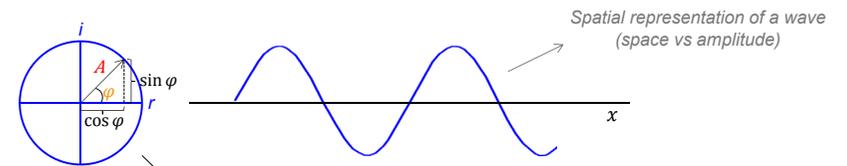
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## Sine waves

$$y = A \sin(2\pi x/T + \varphi)$$



the amplitude and phase of a sine wave can be represented as a complex number where:

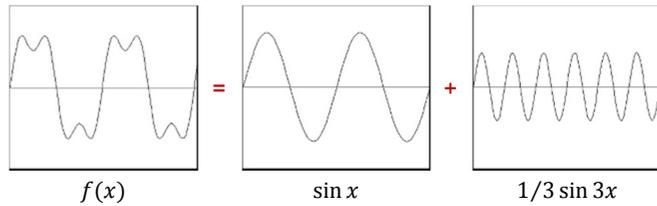
real component is  $A \cos \varphi$   
 imaginary component is  $A \sin \varphi$

$$e^{i\varphi} = \cos \varphi + i \sin \varphi$$

## Fourier analysis

**Fourier theory:** any function (like our images) can be expressed as a sum of a series of sine waves.

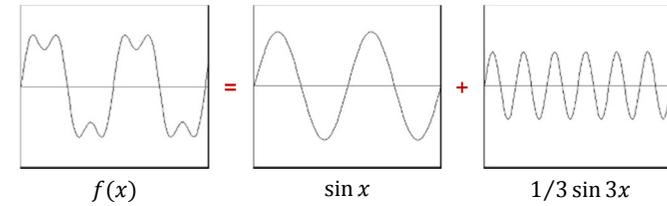
- simple 1D function -



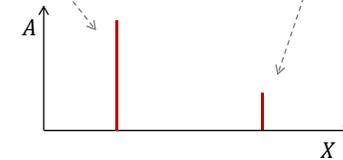
$$f(x) = \sin x + 1/3 \sin 3x$$

Fourier series for  $f(x)$

## Fourier analysis



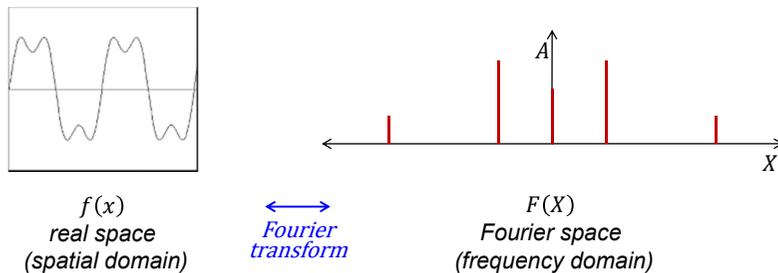
the components of a Fourier series can be represented as a function of amplitude (A) vs frequency (X)



$$f(x) = \sin x + 1/3 \sin 3x$$

Fourier series for  $f(x)$

## Fourier transform

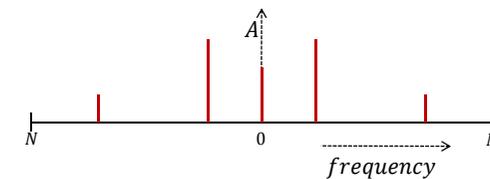


### Fourier transform of a function:

- continuous function (in frequency domain) that encodes all the spatial frequencies that define the transformed real space function:

$$F(X) = \int_{-\infty}^{\infty} f(x) e^{-i2\pi x X} dx$$

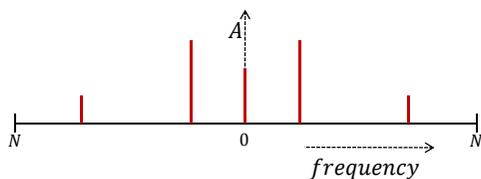
## Fourier transform



### Fourier transform of a function:

- the term at zero frequency represents the average amplitudes across the whole function
- for mathematical reasons, the Fourier transform is reflected across the origin, with the frequency increasing in both directions from the origin, such that each Fourier component has a mirror (equivalent) component (Friedel symmetry)

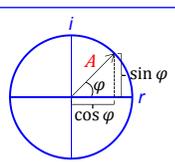
## Fourier transform



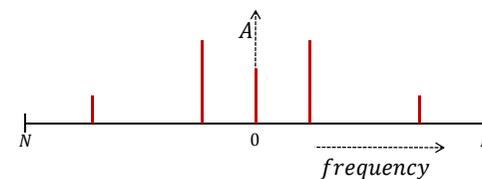
### Fourier transform of a function:

- strictly, a plot of the Fourier transform (as a function of frequency vs amplitude) corresponds to the amplitude spectrum of the image, as the phase components of the Fourier transform are omitted

each Fourier term corresponds to a sine wave, that can be represented as a complex number defined by an amplitude and phase



## Fourier transform

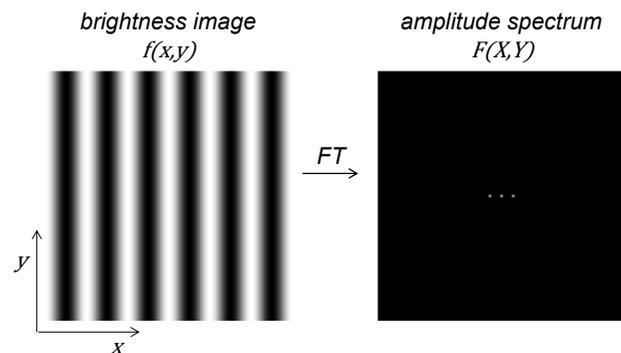


### Fourier transform of a function:

- strictly, a plot of the Fourier transform (as a function of frequency vs amplitude) corresponds to the amplitude spectrum of the image, as the phase components of the Fourier transform are omitted
- it is common to plot a Fourier transform as a function of intensity vs frequency (intensity = amplitude<sup>2</sup>); such plot is known as a power spectrum
- the Fourier transform of a function can be fully inverted :

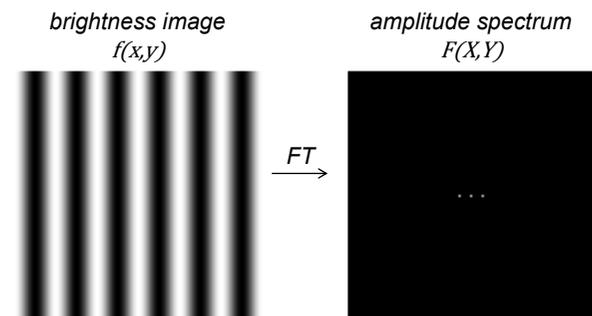
$$f(x) \xrightarrow[\text{F. t.}]{\text{forward}} F(X) \xrightarrow[\text{F. t.}]{\text{inverse}} f(x)$$

## Fourier transform of images



$$F(X, Y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y) e^{-i2\pi(xX+yY)} dx dy$$

## Fourier transform of images

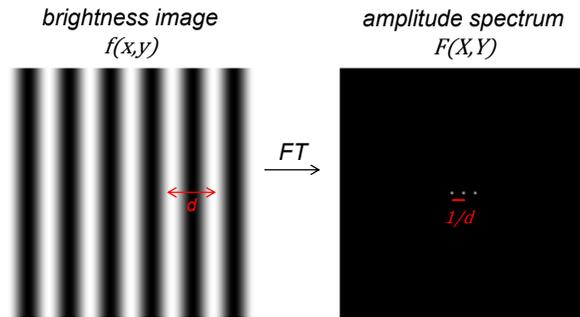


### Fourier transform of a digital image:

- encodes all the spatial frequencies present in an image, from zero (i.e. no modulation) to  $N$  (Nyquist frequency)
- Nyquist frequency is the highest spatial frequency that can be encoded in a digital image

$$N = 1 / (2 \times (\text{image pixel size}))$$

## Fourier transform of images

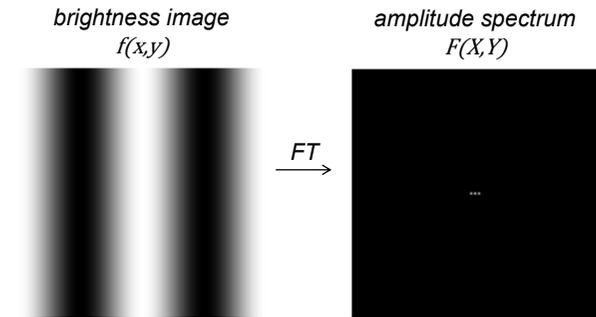


### Fourier transform of a digital image:

- in image processing of cryo-EM images, frequency corresponds to spacing and it is normally described in  $(1/\text{\AA})$  units
- a brightness image with closely spaced features will give a Fourier transform with wide spacings

- Fourier space is also known as reciprocal space -

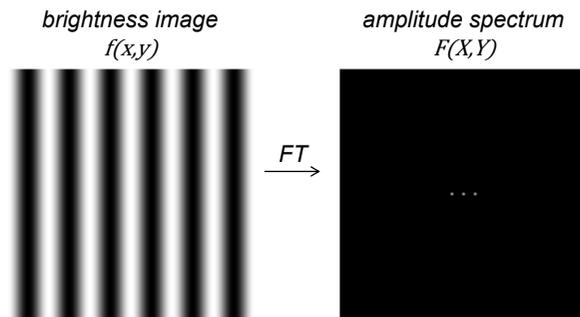
## Fourier transform of images



decreasing the period of a wave component of the real image will increase the distance between its Fourier terms

- Fourier space is also known as reciprocal space -

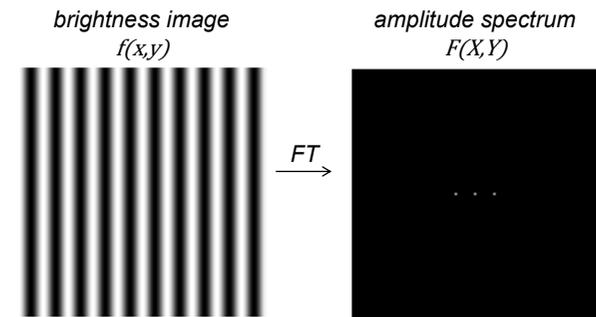
## Fourier transform of images



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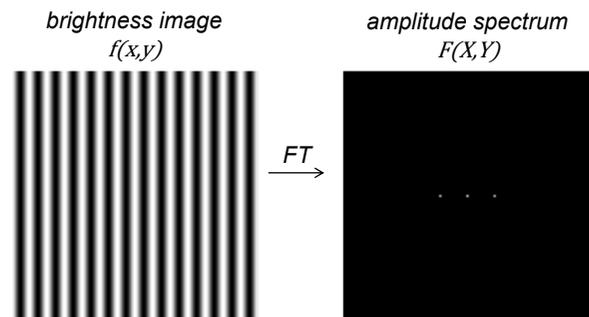
## Fourier transform of images



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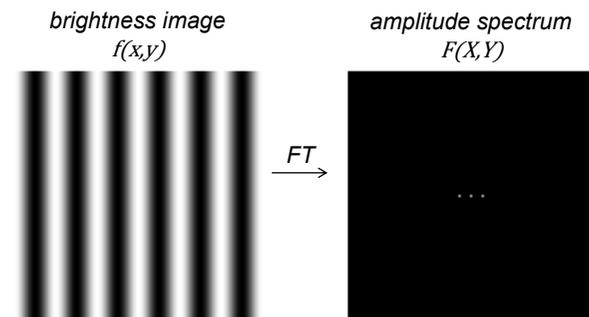
## Fourier transform of images



decreasing the period of a wave component of the real image will increase the distance between its Fourier terms

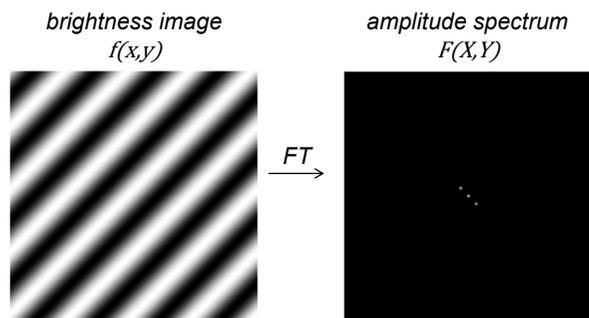
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## Fourier transform of images



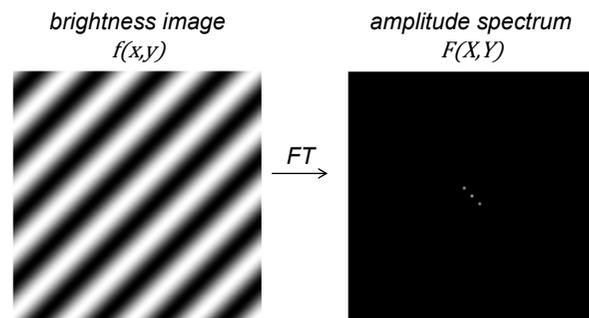
a rotation of the real space image results in a rotation of its transform

## Fourier transform of images



a rotation of the real space image results in a rotation of its transform

## Fourier transform of images

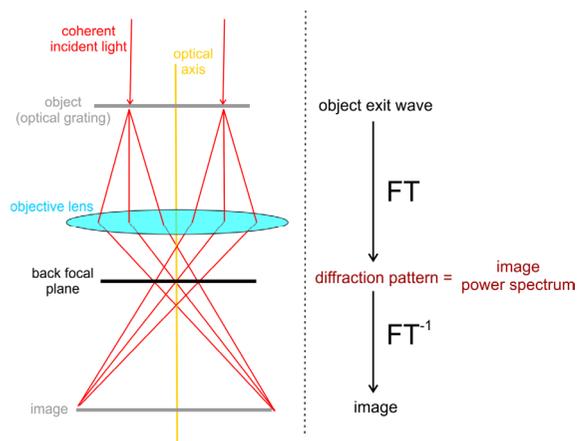


a rotation of the real space image results in a rotation of its transform

a translation of the real space image will produce a phase shift of its Fourier terms, with no observed changes in the corresponding amplitude and power spectra (where the phase components of the Fourier transform are not represented)

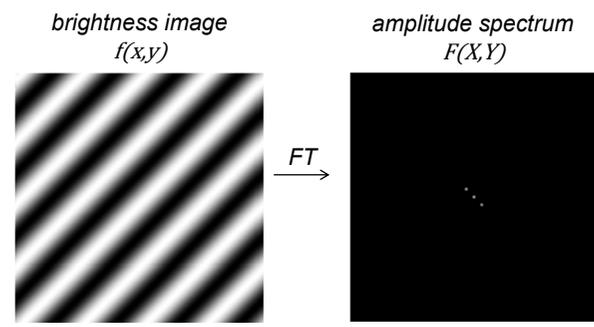
## Fourier transform of images

in optics, the diffraction pattern obtained at the back focal plane corresponds to the power spectrum of the original object



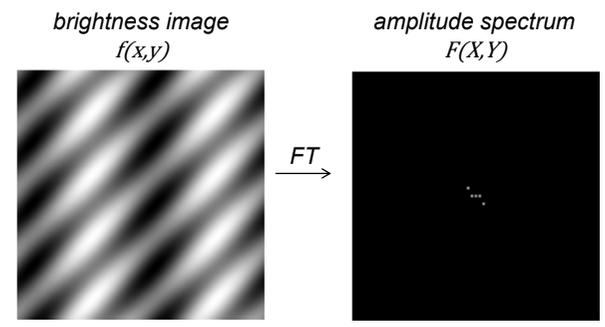
*the image in the projection chamber cannot be directly obtained mathematically from the diffraction pattern, as this contains no phase information*

## Fourier transform of images



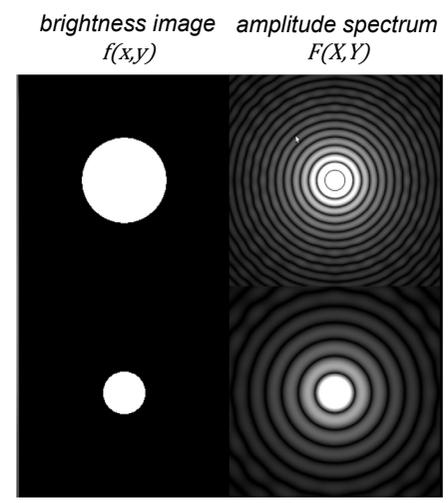
simple image formed by a single sinusoidal component

## Fourier transform of images

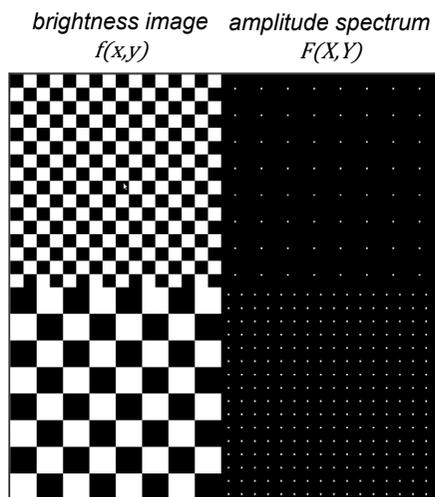


images formed by multiple sinusoidal components

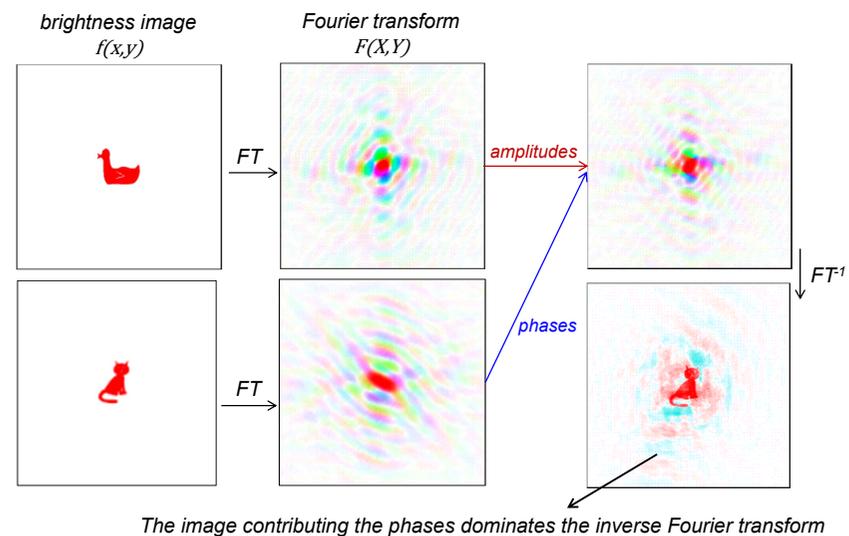
## Fourier transform of images



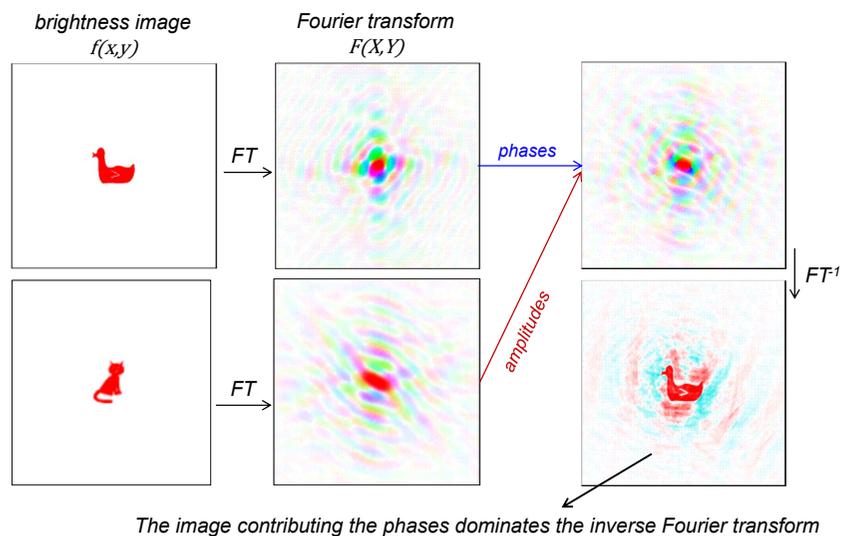
## Fourier transform of images



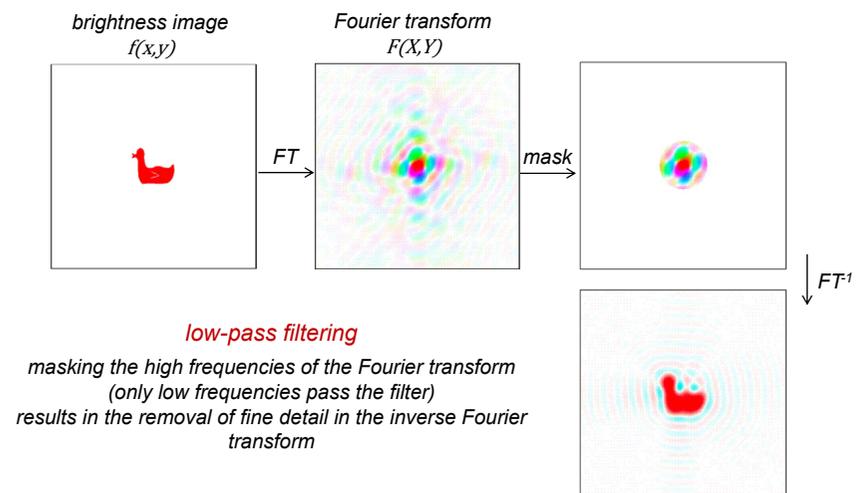
## Fourier transform of images



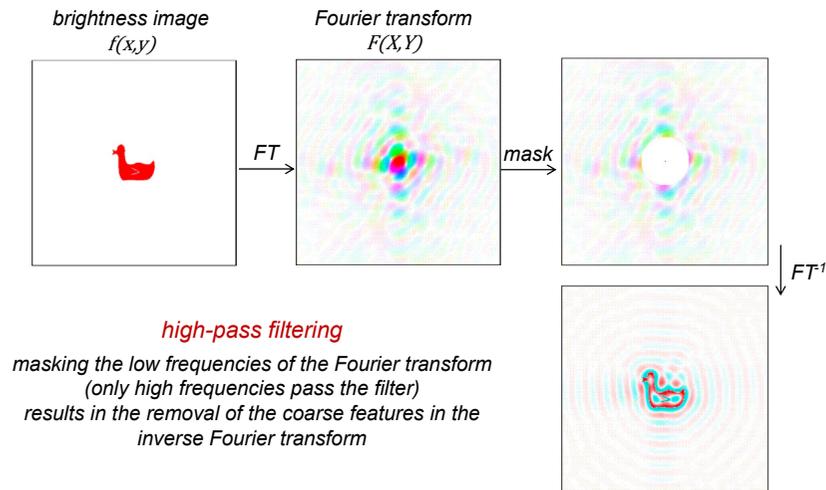
## Fourier transform of images



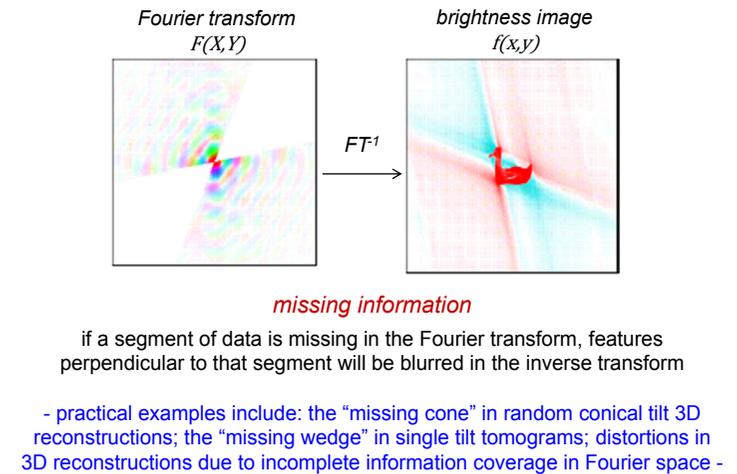
## Fourier transform of images



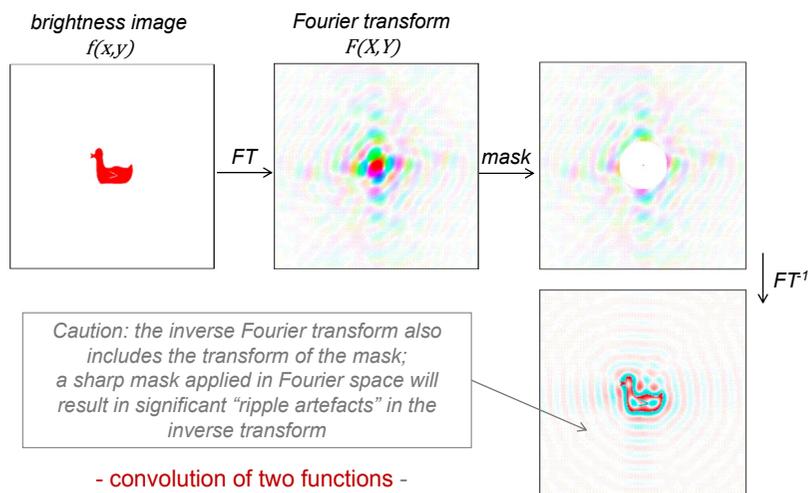
## Fourier transform of images



## Fourier transform of images



## Fourier transform of images



## Basic concepts of convolution

**convolution of two functions:** is the integral of their products after one function is reversed and shifted (by  $t$ )

Convolution of a function  $f(x)$  with a second function  $g(x)$ :

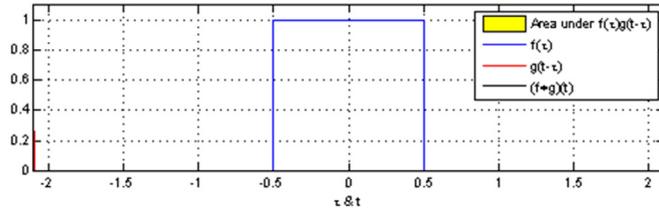
$$f(x) * g(x) = \int f(x)g(t-x)dx$$

## Basic concepts of convolution

*convolution of two functions: is the integral of their products after one function is reversed and shifted (by t)*

Convolution of a function  $f(x)$  with a second function  $g(x)$ :

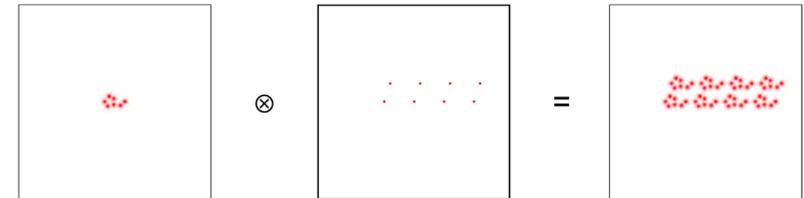
$$f(x) * g(x) = \int f(x)g(t-x)dx$$



- Illustration of the convolution of 2 identical box functions
- Convolution function spreads beyond boxes and is highest where 2 boxes overlap maximally (in this case at  $t=0$ )

## Basic concepts of convolution

the idea is combining two functions such that a copy of one function is placed at each point in space, weighted by the value of the second function at the same point



## Basic concepts of convolution

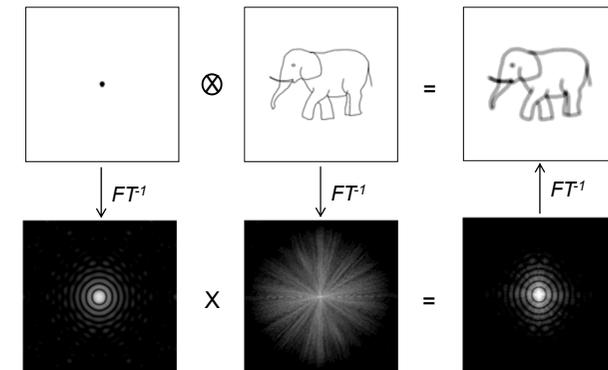
while the convolution of two functions can be directly calculated in real space, the calculation can be done much faster using Fourier transforms

$$f(x) * g(x) = F^{-1}(F(X) \times G(X))$$

*the convolution of two functions in real space is identical to the inverse Fourier transform of the product of their Fourier transforms*

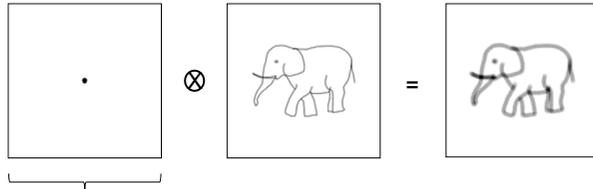
## Basic concepts of convolution

while the convolution of two functions can be directly calculated in real space, the calculation can be done much faster using Fourier transforms



## Basic concepts of convolution

- effect of a point spread function -



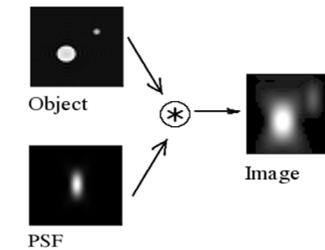
Point spread function - describes the response of an imaging system to a point source or point object (how an optical system sees a point)

*in cryo-EM, images are blurred by convolution with a point spread function arising from the detector as well as imperfections in the imaging system*

*Adapted from Elena Orlova slides*

## Basic concepts of convolution

- effect of a point spread function -



*Point spread function from a confocal light microscope*

## Basic concepts of convolution

*Examples of usage of convolution on the image processing of cryo-EM images includes:*

- *Fourier filtering (as described earlier)*
- *to describe the point spread function of the optical system*
- *to describe the effects of the contrast transfer function (lecture 3)*
- ...

## Basic concepts of correlation

cross correlation between a function  $f(x)$  with a second function  $g(x)$ :

$$ccf = \int f(x)g(x - t)dx$$

*cross correlation is a measure of similarity between two functions (or images) over a range of relative shifts*

- Fourier transforms are used in cross-correlation analysis as a method of rapid calculation
- calculation of the correlation function can be done using product of conjugate Fourier transforms

## Basic concepts of correlation

cross correlation between a function  $f(x)$  with a second function  $g(x)$ :

$$ccf = \frac{\int f(x)g(x-t)dx}{\sqrt{\int f^2(x)dx \int g^2(x)dx}}$$

*normalised cross correlation function*

- required for quantified comparisons between images -

## Basic concepts of correlation

cross correlation between a function  $f(x)$  with a second function  $g(x)$ :

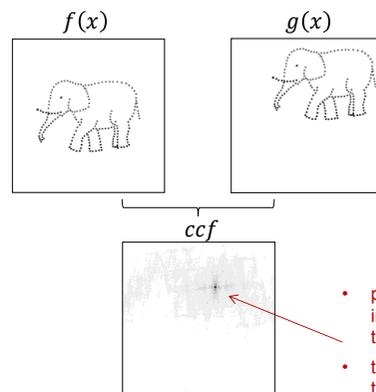
$$ccf = \frac{\int f(x)g(x-t)dx}{\sqrt{\int f^2(x)dx \int g^2(x)dx}}$$

*normalised cross correlation function*

- $ccf = 1$  correlation of identical functions
- $ccf < 1$  indicates different function distributions
- $ccf = 0$  indicates no similarities between the two functions
- $ccf = -1$  special case for a function correlated with that corresponding to its contrast reversal

## Basic concepts of correlation

- cross correlation of two images -



*Adapted from Elena Orlova slides*

## Basic concepts of correlation

*Examples of usage of correlation in the processing of cryo-EM images include:*

- alignment of images
- projection matching
- particle picking
- resolution estimates
- ...